THE HONORABLE ANDREW CUOMO
Governor

THE HONORABLE JOHN FLANAGAN
Temporary President, Majority Leader and Coalition Leader

THE HONORABLE JEFFREY D. KLEIN
Majority Coalition Leader and Independent Democratic Conference Leader

THE HONORABLE CARL HEASTIE
Assembly Speaker

THE HONORABLE ANDREA STEWART-COUSINS
Democratic Conference Leader

THE HONORABLE BRIAN M. KOLB
Assembly Minority Leader

Governor and Members of the Legislature:

I am pleased to submit to you the New York State Commission of Correction Annual Report for the year 2016.

The Commission continues to stand by its mission to provide a safe, stable and humane correctional system in New York State. Governor Andrew Cuomo’s commitment to the improvement of the correctional system in New York and his support of corrections professionals throughout the state gives the Commission added leverage to further its mission.

The statutory mandate of the State Commission of Correction is a broad and multifaceted one. The Commission has continuously carried out its mandate effectively and efficiently, and stands out in the correctional arena as an innovator and provider of quality services to New York State.

The Commission’s commitment to the correctional community and the people of New York State will remain strong. The agency looks forward to continuing to serve the best interests of both.

Respectfully,

cc: Joseph J. Popcun
Assistant Deputy Secretary

cc: Thomas A. Beilein
Chairman
HISTORY

The State Commission of Correction is the current-day embodiment of the New York State Commission of Prisons, which was established in July of 1895. The Commission of Prisons was meant to function as an autonomous body for the sole purpose of monitoring all detention and correctional facilities within the State.

While the State Commission of Correction essentially functioned in its original capacity for almost eighty years, in the 1970’s, the Commission underwent a series of administrative and legal changes that significantly affected its mission in the correctional field.

As the State Commission of Correction’s autonomy and mission were expanded and made more credible, the Governor and Legislature also restructured the agency’s administration. A full-time, three-member Commission was established, appointed by the Governor with the advice and consent of the Senate. The Citizen’s Policy and Complaint Review Council (CPCRC), consisting of nine gubernatorial appointed positions was also established, and the Medical Review Board was reorganized.

CORRECTIONAL OVERSIGHT LEGAL AUTHORITY

Correctional facilities in New York State operate pursuant to a body of carefully formulated constitutional and statutory law and regulations. The State Commission of Correction’s legal authority is derived from the following:

- County Law, Article 5, Section 217: “Each county shall continue to maintain a county jail as prescribed by law.”

- Correction Law, Article 6: Management of state correctional facilities.

- Correction Law, Article 20: Statutory provisions governing New York State penitentiaries and jails.

- Article XVII, Section 5 of the New York State Constitution provides that there shall be a State Commission of Correction, which shall visit and inspect all institutions used for the detention of sane adults charged with or convicted of crime.

- Correction Law, Article 3: establishes the Commission and its oversight and regulatory powers. Section 45(6) of the Correction Law provides for the State Commission of Correction to promulgate rules and regulations establishing minimum standards for the care, custody, correction, treatment, supervision, discipline, and other correctional programs for all persons confined in correctional facilities for adults and correctional facilities for juvenile offenders.

- Title 9, Subtitle AA of the Official Compilation of Codes, Rules and Regulations of the State of New York contains the minimum standards and regulations for the management of correctional facilities, as promulgated by the State Commission of Correction.
ORGANIZATIONAL AND STATUTORY AUTHORITY

The New York State Commission of Correction is an Executive Department correctional oversight and technical services agency first established in the New York State Constitution (Article XVII) in 1895. The Commission’s services are delivered to all state and county correctional facilities, police department detention and facilities in New York City. Its organization, functions, powers and duties are set forth in New York State Correction Law, Article 3.

The Commission’s duties include:
● advising the Governor on correctional policy;
● promulgation of rules and regulations establishing minimum standards for the care, custody, treatment, supervision, and discipline for all persons confined in correctional facilities;
● ensuring compliance with minimum standards;
● monitoring, adjusting, and enhancing prison and jail population capacity;
● reviewing all inmate grievances, complaints, and reportable incidents;
● overseeing new correctional facility development;
● providing staffing services;
● assisting in the implementation of new correctional technologies;
● review of inmate mortality;
● evaluation and development of health and mental health services; and
● overseeing secure juvenile centers operated by the NYS Office of Children and Family Services.

The Commission’s mission is to provide for a safe, stable, and humane correctional system and for the delivery of essential services therein. The three deliberative members of the Commission are appointed by the Governor to statutory terms with the advice and consent of the New York State Senate. One commissioner serves as chair and chief executive officer. The other two commissioners are assigned to head the Medical Review Board and Citizen’s Policy and Complaint Review Council respectively, members of which are also appointed to terms by the Governor.

The Commission is primarily a field services agency with 17 Correctional Facility Specialists in its Field Operations Bureau and Forensic Medical Unit. Legal, executive and support services complete the agency’s present staff complement of 32. Commission field and forensic units are on occasion subject to reorganization based upon staffing level fluctuation and the continuous effort to maximize deployment efficiency.

In summary, the Commission is a small, specialized criminal justice service agency supporting the statewide correctional community, working on behalf of the Governor to professionalize and enhance the quality of corrections in New York.
The Medical Review Board (MRB) was established by the Legislature in 1972 to investigate deaths in correctional facilities within the state and to make recommendations for improving the delivery of health care to detainees and sentenced offenders.

Section 46 of the Correction Law provides for an organized Correction Medical Review Board within the State Commission of Correction. The Board must consist of two physicians who are board certified respectively in forensic pathology and forensic psychiatry, another physician, an attorney admitted to the New York Bar, and two at-large members, all appointed by the Governor to statutory terms with advice and consent of the Senate.

Section 47 of the Correction Law empowers the Board to:

“(1.) (a) Investigate and review the cause and circumstances of the death of any inmate of a correctional facility and,

(1.) (e) Investigate and report to the Commission on the condition of systems for the delivery of medical care to inmates of correctional facilities and where appropriate, recommend such changes as it shall deem necessary and proper to improve the quality and availability of such medical care.”

The Commission and the Medical Review Board pursue the following objectives:

- Conduct timely and thorough investigations of all mortality and serious incident cases in the correctional system;

- Develop and administer cost-effective plans, projects, and programs to address identified problems in the health care delivery of all correctional facilities; and

- Maintain continuous oversight of correctional health care trends by the substantive response to inmate health care grievances and review of Medical Review Board recommendations.

The Medical Review Board meets on a quarterly basis. The 2016 MRB members included:

Phyllis Harrison-Ross, M.D., Chairperson (deceased January 2017)
Michael Baden, M.D.
Allison Bishop, Esq
Marjorie Blieka, M.D
Scott S. Coyne, M.D
Bruce David, M.D.
Robert Kurtz, M.D.
CITIZEN’S POLICY AND COMPLAINT REVIEW COUNCIL

The Council was established by the Legislature to address the need for increased public participation in the oversight and regulation of local correctional facilities. Its mandate includes improving conditions in local correctional facilities, overseeing the inmate complaint and grievance process, and advising the Commission.

Section 42 of the Correction Law provides for a Citizen’s Policy and Complaint Review Council. The Council is comprised of nine members appointed by the Governor with advice and consent of the Senate. The statute requires that one member shall have served in the armed forces of the United States in any foreign war, conflict or military occupation, or shall be a duly licensed mental health professional who has experience of training with regard to Post-Traumatic Stress Syndrome, an attorney admitted to practice in this state, a former inmate of a correctional facility, a former Office of Children and Family Services (OCFS) resident, and a former OCFS employee who has directly supervised youth in a secure residential center operated by OCFS.

The Citizen’s Policy and Complaint Review Council (CPCRC) convenes monthly. The 2016 CPCRC members included:

Thomas Loughren, Chair
Charles Burkes
Hernan Carvente
Thomas Cross
Nicholas LaBella, Jr
Douglas Landon, Esq.
Donald Nadler
Julie Scalione
Martin Stanton
FIELD OPERATIONS MANAGEMENT AND SUPERVISORY STAFF
2016

RICHARD KINNEY
Director of Operations

TERRY MORAN
Deputy Director of Operations

WILLIAM BENJAMIN
Supervisor
Facility Planning and Improvement

CHRIS OST
Supervisor
Forensic Unit

DEBBIE CLARK
Field Supervisor

KEITH ZOBEL
Field Supervisor

STEVEN SAVOY
Field Supervisor

FIELD OPERATIONS
MISSION DIRECTED PROGRAMS

Facility Monitoring and Evaluations

Facility Reportable Incidents

Healthcare, Mental Health, and Suicide Prevention

Inmate Complaints and Grievances

Capacity Management, Staffing Services, Technical Assistance

Facilities Development and Operational Transition (NITAP)

Compliance Monitoring for the Handling and Processing of Juveniles
(Juvenile Justice Delinquency Prevention Act 2002)

Regulatory Oversight of Secure Facilities Operated by Office of Children and Family Services
BUREAU OF FIELD OPERATIONS

The Bureau of Field Operations has primary responsibility for performing the Commission’s agency functions. Among them are local correctional facility compliance assessments, incident reviews and investigations, mortality investigations, inmate grievance and complaint reviews, facility planning and a variety of technical assistance.

The Bureau of Field Operations operates throughout the correctional systems of New York State. These systems include all state, county, and New York City correctional facilities, as well as local police department lockups and those secure facilities operated by the New York State Office of Children of Family Services. In 2015 the Bureau of Field Operations was organized into four distinct units: Hudson Lakes, Capital West, and Metro and Central. This organizational structure continued into 2016.

Assessment/Monitoring Field Work, Incidents, Complaints and Grievances

Field staff based in both Albany and New York City conducted on-site visits primarily to local correctional facilities and police lockups. This fieldwork included assessing the level of operational compliance with the Commission’s minimum standards, monitoring unusual conditions (e.g. disturbances and unstable conditions), evaluating management practices, preparing comprehensive reports, and providing technical assistance to facility administrators, including state-operated correctional facilities. In the event that staff findings of non-compliance with minimum standards remain unresolved for an extended period, the Commission may seek recourse through other means, including the courts.

Facility Compliance Assessment: Minimum Standards Compliance Assessment

At the beginning of 2016, Commission field staff launched a four-year compliance auditing schedule. Over this period, staff will audit the level of compliance for each one of the thirty-three minimum standards at every county jail. Those standards identified as being essential to the jail’s safe and secure operation (e.g. Security and Supervision, Sanitation and Inmate Grievances) will be assessed on a more frequent basis, in some cases every other year. Issues of non-compliance with a specific standard that cannot be satisfactorily resolved at the end of the calendar year are carried over to the next audit cycle, with continuing efforts towards resolution.

The Commission’s current contingent of field staff is each assigned between eight to ten county jails. Each county facility is subject to a compliance assessment (audit) annually. Throughout the year staff may be responsible for a number of police lockups to audit, in addition to their county jail assignments. Field staff normally schedule at least one county facility assessment a month. The four-year schedule now in place identifies the ten to twelve standards subject to being assessed for each year. It typically requires five days to complete an audit at a small to medium-sized county jail. Audits of larger county jails can take up to eight days to complete.

On occasion, unanticipated events occur that require the redeployment of field staff to address emergency circumstances.

At the conclusion of their on-site compliance assessment work at a county jail, the assigned Commission staff member will schedule an exit briefing, preferably with the sheriff and
jail administrator. The purpose of this meeting is to present for discussion, an overview of their findings, including a preliminary explanation of any non-compliance findings.

Commission staff are then responsible for preparing a written report that details all findings and includes an explanation of the remedial action(s) necessary for the facility to attain compliance. Commission staff may schedule subsequent follow-up site visits as necessary over the balance of the year to assess the remedial steps implemented to date. Once a matter of non-compliance has been fully addressed by officials, the facility will be found in full compliance and the Commission will issue correspondence to the sheriff advising the county that the violation has been officially closed out.

Commission field staff periodically conduct unannounced site visits to facilities as well in order to conduct “walk-throughs.” Such action often provides an opportunity for Commission of Correction field staff to better assess a facility’s overall environment.

The following list represents the New York State Minimum Standards (9 NYCRR) pertaining to the management of county correctional facilities:

- Part 7002, Admissions
- Part 7003, Security and Supervision
- Part 7004, Correspondence
- Part 7005, Prisoner Personal Hygiene
- Part 7006, Discipline
- Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
- Part 7008, Visitation
- Part 7009, Food Services
- Part 7010, Health Services
- Part 7013, Classification
- Part 7015, Sanitation
- Part 7016, Commissary and Inmate Accounts
- Part 7017, Personnel Standards
- Part 7019, Gifts and Gratuities
- Part 7022, Reportable Incidents
- Part 7023, Access to Media
- Part 7024, Religion
- Part 7025, Packages
- Part 7026, Printed Materials and Publications
- Part 7027, Printed Materials
- Part 7028, Exercise
- Part 7029, Non-Discrimination
- Part 7030, Nondiscriminatory Treatment
- Part 7031, Legal Services
- Part 7032, Grievance Program
- Part 7033, Transfer of Records
- Part 7034, Health Services
- Part 7035, Medical Services
- Part 7036, Substance Abuse Services
- Part 7037, Substance Abuse Education and Treatment
- Part 7038, Substance Abuse Prevention
- Part 7039, Fire Prevention and Safety
- Part 7040, Maximum Facility Capacity
- Part 7041, Staffing Requirements
- Part 7042, Sanitation
- Part 7043, Sanitation
- Part 7044, Sanitation
- Part 7045, Sanitation
- Part 7046, Sanitation
- Part 7047, Sanitation
- Part 7048, Sanitation
- Part 7049, Sanitation
- Part 7050, Variances
- Part 7051, Funeral and Deathbed Visits
- Part 7052, Chemical Agents
- Part 7053, Chemical Agents
- Part 7054, Chemical Agents
- Part 7055, Chemical Agents
- Part 7056, Chemical Agents
- Part 7057, Chemical Agents
- Part 7058, Chemical Agents
- Part 7059, Chemical Agents
- Part 7060, Chemical Agents
- Part 7061, Chemical Agents
- Part 7062, Chemical Agents
- Part 7063, Chemical Agents
- Part 7064, Human Immunodeficiency Virus and AIDS-Related Information Confidentiality
- Part 7065, Human Immunodeficiency Virus and AIDS-Related Information Confidentiality
- Part 7066, Human Immunodeficiency Virus and AIDS-Related Information Confidentiality
- Part 7067, Human Immunodeficiency Virus and AIDS-Related Information Confidentiality
- Part 7068, Human Immunodeficiency Virus and AIDS-Related Information Confidentiality
- Part 7069, Human Immunodeficiency Virus and AIDS-Related Information Confidentiality
- Part 7070, Educational Services for Youth
The following is a list of the all New York State county jails. After each facility listed are the specific standards that were assessed, or where follow-up assessment was conducted on site in 2016. Also listed are additional compliance activity performed, such as issued notifications of violation and court directives issued. These actions are typically the result of significant and chronic non-compliance with a specific standard(s) over an extended period.

**Albany County Jail**
**Compliance assessment conducted for the following:**
- Part 7003, Security and Supervision
- Part 7006, Discipline
- Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
- Part 7008, Visitation
- Part 7009, Food Services
- Part 7015, Sanitation
- Part 7030, Nondiscriminatory Treatment
- Part 7032, Grievance Program
- Part 7063, Chemical Agents
- Part 7070, Educational Services for Youth

**Follow-up compliance status assessment conducted for the following:**
None

**Other Compliance Activity:**
Juvenile Justice and Delinquency Prevention Act Compliance Assessment.
Complaint Inquiries

**Allegany County Jail**
**Compliance assessment conducted for the following:**
- Part 7003, Security and Supervision
- Part 7006, Discipline
- Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
- Part 7008, Visitation
- Part 7009, Food Services
- Part 7015, Sanitation
- Part 7030, Nondiscriminatory Treatment
- Part 7032, Grievance Program
- Part 7063, Chemical Agents
- Part 7070, Educational Services for Youth (Assessment delayed)

**Follow-up compliance status assessment conducted for the following:**
- Part 7003, Security and Supervision
- Part 7006, Discipline
- Part 7008, Visitation

**Other Compliance Activity:**
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Broome County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth (Assessment delayed)

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7013, Classification
Part 7041, Staffing

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Notice of Violation – Staffing (Second Year)
Escape Inquiry – Christopher Ellis
Abscondance Inquiry – James Brown

Cattaraugus County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
500-b Denial 1st – 2/25/16
500-b Approval 1st Occurrence – 5/5/16
500-b Approval 2nd Occurrence – 8/18/16
Legal Opinion – Jail Time Credit
Cayuga County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Chautauqua County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Chemung County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

**Follow-up compliance status assessment conducted for the following:**
Part 7003, Security and Supervision
Part 7028, Exercise
Part 7013, Grievance
Part 7051, Funeral and Deathbed Visits

**Other Compliance Activity:**
None

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**Chenango County Jail**

**Compliance Assessment conducted for the following:**
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

**Follow-up compliance status assessment conducted for the following:**
None

**Other Compliance Activity:**
None

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**Clinton County Jail**

**Compliance Assessment conducted for the following:**
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth
Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program

Other Compliance Activity:
Commission of Correction Directive Part 7032 Issued 2/21/16
Placement on Commission of Correction Jail Ban List Issued 3/28/16
Removal from Commission of Correction Jail Ban List Issued 6/22/16
Attempted Escape Inquiry – Pedro Hechavarria Tapia
Juvenile Justice Delinquency Prevention Act Compliance Assessment

Columbia County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Cortland County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth
Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See NITAP
Revised Staffing Analysis (See Staffing Services)

Delaware County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7008, Visitation
Part 7032, Grievance Program
Part 7063, Chemical Agents

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Double Cell ing Review

Dutchess County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7023, Access to Media
Additional Compliance Issues:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See NITAP
Complaint Inquiry

Erie County Holding Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
No follow-up compliance activity

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Erie County Correctional Facility
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
No follow-up compliance activity

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Reportable Incident - Investigation Carl Miller
Essex County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7005, Prisoner Personal Hygiene
Part 7006, Discipline
Part 7022, Reportable Incidents
Part 7031, Legal Services
Part 7032, Grievances

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Franklin County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7006, Discipline
Part 7009, Food Services
Part 7024, Religion
Part 7026, Printed Materials and Publications
Part 7032, Grievance Program
Part 7039, Fire Prevention
Part 7040, Maximum Capacity
Part 7063, Chemical Agents
Other Compliance Activity:
Reportable Incident Inquiry

**Fulton County Jail**
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

**Genesee County Jail**
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reportable Incident Inquiry – Personnel-Inmate Sexual Offense
Greene County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
   Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Activity:
SCOC Directive Issued – 11/15/16 - Violation of NYS CL §§ 137, 500-k, 9 NYCRR §7003.1,
   §7006.2, §7009.2(a), §7009.2(b), §7013.3(a), §7030.1, 7032.1, 7032.3(a), 7032.3(b), 7032.4(a),
   7032.4(d), 7032.4(i), 7032.4(k) 7032.5(b), 7032.7(a), 7032(b), 7032.8(b), 7032.10.

See NITAP
Maximum Facility Capacity (See Capacity Management)
Classification Inquiry

Hamilton County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
   Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Herkimer County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth
Follow-up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence

Other Compliance Activity:
See Facility Planning and Improvement

Jefferson County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Activity:
None

Lewis County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7008, Visitation
Part 7009, Food Services
Part 7032, Grievance Program
Part 7070, Educational Services for Youth

Other Activity:
500-b Approval 1st Occurrence – 11/16
See Facility Planning and Improvement

Livingston County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7009, Food Services
Part 7013, Classification

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Madison County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7009, Food Services
Part 7016, Commissary
Part 7030, Nondiscriminatory Treatment
Part 7031, Legal Services
Part 7039, Fire Prevention and Safety
Part 7051 Funeral and Deathbed Visits
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Other Activity:
See Facility Planning and Improvement
Investigation of Attempted Escape by Adrian Ward
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Monroe County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Complaint Inquiry
See Facility Planning and Improvement

Monroe County Correctional Facility
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Activity:
Complaint Inquiry

Montgomery County Jail

Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Complaint Inquiry
See Facility Planning and Improvement

Nassau County Jail

Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

**Follow-up compliance status assessment conducted for the following:**
Part 7003, Security and Supervision
Part 7032, Grievance Program

**Other Compliance Activity:**
Reportable Incident Inquiry
Notification of Violation Part 7022
See Facility Planning and Improvement
Complaint Inquiries
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Suspected Escape Facility Intervention Inquiry

**Niagara County Jail**

**Compliance Assessment conducted for the following:**
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

**Follow-up compliance status assessment conducted for the following:**
None

**Other Compliance Activity:**
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Revised Staffing Analysis (See Staffing Services)

**Oneida County Jail**

**Compliance Assessment conducted for the following:**
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth
Follow-up compliance status assessment conducted for the following:
Part 7002, Admissions

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Inmate Escape Investigation – Scott Hence

Onondaga Justice Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Constant Supervision Reduction POD 5C
See Facility Planning and Improvement

Onondaga County Penitentiary
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Maximum Facility Capacity Reformulation (See Capacity Management)
Ontario County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Revised Staffing Analysis (See Staffing Services)
See Facility Planning and Improvement
Complaint Inquiries
500-b Approval 1st Occurrence 1/15/16
500-b Approval 2nd Occurrence 2/11/16
500-b Approval 3rd Occurrence 3/4/16

Orange County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7016, Commissary

Other Compliance Activity:
Complaint Inquiries
Orleans County Jail
Compliance assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7008, Visitation
Part 7026, Printed Materials and Publications
Part 7041, Staffing

Other Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Oswego County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7013, Classification
Part 7016, Commissary
Part 7040, Maximum Facility Capacity
Part 7041, Staffing Requirements

Other Compliance Activity:
Investigation of Escape - Elizabeth Wood
See Facility Planning and Improvement
Otsego County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
   Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7028, Exercise

Other Compliance Activity:
Reformulation Maximum Facility Capacity (See Capacity Management)
See Facility Planning and Improvement

Putnam County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
   Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
   Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth
Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Complaint Inquiries

Rensselaer County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Reformulation Maximum Facility Capacity (See Capacity Management)

Rockland County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment – CSD Lockup
See Facility Planning and Improvement
Constant Watch Conversion
St. Lawrence County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7006, Discipline
Part 7013, Classification
Part 7016, Commissary
Part 7023, Access to Media
Part 7025, Packages
Part 7039, Fire Prevention and Safety
Part 7070, Educational Services to Youth

Other Compliance Activity:
Notice of Violation – NYS CL 500-b, 500-c, 9 NYCRR 7013.8, 7006.4
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Saratoga County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Facility Planning and Improvement

**Schenectady County Jail**
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

**Schuyler County Jail**
Compliance Assessment conducted for the following:
None

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See NITAP

**Schoharie County Jail**
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None
Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Seneca County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7009, Food Services
Part 7031, Legal Services
Part 7016, Commissary, Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Steuben County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth
Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline

Other Compliance Activity:
500-b Approval 1\textsuperscript{st} Occurrence 6/21/16
500-b Approval 2\textsuperscript{nd} Occurrence 7/4/16

Suffolk County Jail (Riverhead & Yaphank)
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Complaint Inquiries
Reformulated Facility Maximum Capacity- Yaphank (See Capacity Management)
Juvenile Justice and Delinquency Prevention Act Compliance Assessment - Riverhead
See NITAP

Sullivan County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7008, Visitation
Part 7032, Grievance Program
Part 7070, Educational Services for Youth
Other Compliance Activity:
See NITAP

**Tioga County Jail**
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Tompkins County Jail**
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7032, Grievance Program
Part 7063, Chemical Agents

Other Compliance Activity:
See Facility Planning and Improvement
Reformulated Maximum Facility Capacity (See Capacity Management)
Ulster County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction

Warren County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7016, Commissary

Other Compliance Activity:
See Facility Planning and Improvement

Washington County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7008, Visitation None
Part 7009, Food Services
Part 7015, Sanitation
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Other Activity:
See Facility Planning and Improvement

Wayne County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Facility Planning and Improvement

Westchester DOC/Westchester Jail, Penitentiary, and Annex
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth
Follow-up compliance status assessment conducted for the following:
Part 7002, Admission
Part 7003, Security and Supervision None
Part 7013, Classification
Part 7022, Reportable Incidents
Part 7028, Exercise
Part 7031, Legal Services

Other Compliance Activity:
Revised Staffing Analysis (See Staffing Services)
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Wyoming County Jail Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7009, Food Services
Part 7026, Printed Materials and Publications

Other Compliance Activity:
See Facility Planning and Improvement

Yates County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow-up compliance status assessment conducted for the following:
None

Other Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
See Facility Planning and Improvement

New York City Department of Correction

Anna M. Kross Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation None
Part 7040, Maximum Facility Capacity

Other Compliance Activity:
Directive Issued 9 NYCRR §§7003.3(a), 7003.3(f), 7003.3(f)

Brooklyn House of Detention
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents
Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Eric M. Taylor Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Facility Planning and Improvement
Reformulation of Maximum Facility Capacity (See Capacity Management)

George Motcha Detention Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Facility Planning and Improvement
George R. Vierno Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
See Facility Planning and Improvement

Manhattan Detention Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
See Facility Planning and Improvement

North Infirmary Command
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Facility Planning and Improvement

Otis Bantum Correctional Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Facility Planning and Improvement

Robert N. Davoren Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil
Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
Part 7003, Security and Supervision

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Facility Planning and Improvement

Rose M. Singer Center

Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
See Facility Planning and Improvement

Vernon C. Bain Center

Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
West Facility
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7063, Chemical Agents

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Reformulation of Maximum Facility Capacity (See Capacity Management)
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Facility Planning and Improvement

NEW YORK STATE OFFICE of CHILDREN AND FAMILY SERVICES

The following is a list of the all New York State Office of Children and Family Services Secure Centers. After each facility listed are the specific standards that were assessed, or where follow-up assessment was conducted onsite in 2016. Also listed are additional compliance activity performed such issued notifications of violation and court directives issued.

Brookwood Secure
Compliance Assessment conducted for the following:
Part 7402, Classification
Part 7403, Admissions
Part 7404, Security and Supervision
Part 7405, Discipline
Part 7419, Personal Hygiene

Follow-up compliance status assessment conducted for the following:
None

Follow-up compliance status assessment conducted for the following:
Reportable Incident Inquiry
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Columbia Girls
Compliance Assessment conducted for the following:
Part 7402, Classification
Part 7403, Admissions
Part 7404, Security and Supervision
Part 7405, Discipline
Part 7419, Personal Hygiene

Follow-up compliance status assessment conducted for the following:
None

Follow-up compliance status assessment conducted for the following:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Goshen Secure

Compliance Assessment conducted for the following:
Part 7402, Classification
Part 7403, Admissions
Part 7404, Security and Supervision
Part 7405, Discipline
Part 7419, Personal Hygiene

Follow-up compliance status assessment conducted for the following:
None

Follow-up compliance status assessment conducted for the following:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

MacCormick Secure

Compliance Assessment conducted for the following:
Part 7402, Classification
Part 7403, Admissions
Part 7404, Security and Supervision
Part 7405, Discipline
Part 7419, Personal Hygiene

Follow-up compliance status assessment conducted for the following:
None

Follow-up compliance status assessment conducted for the following:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Facility Planning and Improvement
COUNTY JAIL AND NEW YORK CITY DOC FACILITY REPORTABLE INCIDENTS

In fulfilling its oversight and regulatory responsibilities, the Commission requires that all local correctional facilities report incidents that endanger inmates or staff or disrupt facility operations. In 2016 the Commission received 4,458 Reportable Incidents from county jails and 9,705 from NYC DOC according to the Commission’s list of mandatory categories. Incidents are reported on a 24-hour basis. Every incident report is reported by the facility, to the Commission, via the eJusticeNY Integrated Justice Portal. These reports are then reviewed by a Correctional Facility Specialist or Senior Utilization Review Nurse to determine whether any further inquiry is necessary and if on-site follow-up is appropriate.

COUNTY JAIL REPORTABLE INCIDENTS 2016

<table>
<thead>
<tr>
<th>CATEGORY</th>
<th># INCIDENTS</th>
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<tbody>
<tr>
<td>Individual Inmate Disturbance</td>
<td>1,063</td>
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<tr>
<td>Hospital Admission of Inmate</td>
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<td>Inmate Self-Inflicted Injury</td>
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<td>Visitor-Introduced Contraband</td>
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<td>Inmate Accidental Injury</td>
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<tr>
<td>Inmate Group Assault/Gang Assault</td>
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<td>Major Maintenance/Service Disruption</td>
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<td>Personnel Accidental Injury</td>
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<tr>
<td>Attempted Escape</td>
<td>6</td>
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<tr>
<td>Erroneous Releases</td>
<td>6</td>
</tr>
<tr>
<td>Cap/Ret to custody of an abs or erroneous release</td>
<td>4</td>
</tr>
<tr>
<td>Fire/Non-arson</td>
<td>4</td>
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<tr>
<td>Personnel Death</td>
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### Category of Incidents

<table>
<thead>
<tr>
<th>Category</th>
<th># Incidents</th>
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<tbody>
<tr>
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<tr>
<td>Capture/Return to custody of escaped inmate</td>
<td>3</td>
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<tr>
<td>Escape/County Supervision</td>
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</tr>
<tr>
<td>Arrest of Staff</td>
<td>2</td>
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<tr>
<td>Discharge of Firearm</td>
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</tr>
<tr>
<td>Personnel/Inmate Assault</td>
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<tr>
<td>Abscondence</td>
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<tr>
<td>Fire/Unknown Source</td>
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</tr>
<tr>
<td>Newborn/Infant Death</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>4,458</strong></td>
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### NYC Department of Correction Reportable Incidents 2016

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<thead>
<tr>
<th>Category</th>
<th># Incidents</th>
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<tbody>
<tr>
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<td>Inmate/Personnel Assault</td>
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<td>Individual Inmate Disturbance</td>
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<td>Unknown Source-Introduced Contraband</td>
<td>251</td>
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<td>Personnel/Inmate Assault</td>
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<td>Visitor-Introduced Contraband</td>
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<td>Major Disturbance</td>
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<td>Inmate Self-Inflicted Injury</td>
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<td>Personnel Accidental Injury</td>
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<td>Personnel-Introduced Contraband</td>
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<td>Visitor Assault</td>
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<td>Inmate Group Action</td>
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<td>Attempted Escape</td>
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<tr>
<td>Arrest of Staff</td>
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</tr>
<tr>
<td>Erroneous Releases</td>
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<td>CATEGORY</td>
<td># INCIDENTS</td>
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<td>-----------------------------------------------------------</td>
<td>-------------</td>
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<tr>
<td>Fire/Arson</td>
<td>3</td>
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<tr>
<td>Hospital Admission of Inmate</td>
<td>3</td>
</tr>
<tr>
<td>Inmate Attempted Suicide</td>
<td>3</td>
</tr>
<tr>
<td>Discharge of Firearm</td>
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</tr>
<tr>
<td>Major Maintenance/Service Disruption</td>
<td>2</td>
</tr>
<tr>
<td>Capture/Ret to custody of an absconence or erroneous release</td>
<td>1</td>
</tr>
<tr>
<td>Capture/Return to custody of escaped inmate</td>
<td>1</td>
</tr>
<tr>
<td>Fire/Non-arson</td>
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<tr>
<td>Inmate/Visitor Assault</td>
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<tr>
<td>Personnel Group Action</td>
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<td>Total</td>
<td>9,705</td>
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</table>
OFFICE OF CHILDREN and FAMILY SERVICES’ REPORTABLE INCIDENTS

Pursuant to New York State Correction Law, §45 [6-b] and 47 [2], the five (5) secure centers operated by the New York State Office of Children and Family Services (OCFS) are required to report to the State Commission of Correction all incidents deemed to be of a serious or potentially problematic nature. Reports are received by Commission staff twenty-four hours a day, seven days a week. Every incident report is reported by OCFS, to the Commission, via the eJusticeNY Integrated Justice Portal. These reports are then reviewed by a Correctional Facility Specialist or Senior Utilization Review Nurse to determine if any further inquiry is necessary and if on-site follow-up is appropriate.

The table below lists both the categories, as defined by SCOC, and the total incidents reported. Following the initial review, the Commission then determines if additional information should be gathered or if the incident merits an on-site investigation. Both major and sub-categories are considered when determining the appropriate follow-up action by Commission staff.

OCFS REPORTABLE INCIDENTS 2016

<table>
<thead>
<tr>
<th>CATEGORY</th>
<th>Total</th>
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<tbody>
<tr>
<td>Individual Resident Disturbance</td>
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<tr>
<td>Resident/Staff Assault</td>
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<td>Resident/Resident Assault</td>
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<tr>
<td>Contraband Other</td>
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<tr>
<td>Contraband Controlled Substance</td>
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<tr>
<td>Contraband Dangerous Weapon</td>
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<td>Minor Disturbance</td>
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<tr>
<td>Resident Self-Inflicted Injury</td>
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</tr>
<tr>
<td>Major Maintenance/Service Disruption</td>
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<tr>
<td>Staff/Resident Assault</td>
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<td>Resident Group Action</td>
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<tr>
<td>Resident Serious Accidental Injury</td>
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<tr>
<td>Resident/Resident Sexual Abuse/Assault</td>
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<td>Attempted Escape</td>
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<tr>
<td>Erroneous Releases</td>
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<tr>
<td>Fire/Unknown Source</td>
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<tr>
<td>Major Disturbance</td>
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<tr>
<td>Resident/Staff Sexual Abuse/Assault</td>
<td>1</td>
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<tr>
<td>Staff/Resident Sexual Abuse/Assault</td>
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</table>

* OCFS began reporting data on 10/1/16.

<table>
<thead>
<tr>
<th>Total Categories</th>
<th>486</th>
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</thead>
<tbody>
<tr>
<td>Total Incidents</td>
<td>363</td>
</tr>
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</table>
LOCAL POLICE REPORTABLE INCIDENTS

Pursuant to Correction Law, §45(6), local police departments operating lockups are required to report to the Commission those incidents deemed to be of an unusual nature which may endanger detainees, law enforcement staff, or otherwise disrupt facility operations. In 2016, the Commission received 703 categories and a total of 701 reported incidents from local police agencies. Reportable incidents are received on a 24-hour basis. Every incident report is reported by the facility, to the Commission, via the eJusticeNY Integrated Justice Portal. These reports are then reviewed by a Correctional Facility Specialist or Senior Utilization Review Nurse to determine whether any further inquiry is necessary and if on-site follow-up is appropriate.

Every incident report is reviewed by a Correctional Facility Specialist or Senior Utilization Review Nurse, assigned a tracking number and electronically logged. Based upon the initial review, it is then determined if additional inquiry, technical assistance, or an on-site investigation is necessary.

LOCAL POLICE REPORTABLE INCIDENTS 2016

| Inmate Injury/Illness requiring hospitalization | 643 |
| Disturbance                                    | 55  |
| Natural Emergency                              | 4   |
| Civil Emergency                                | 1   |
| **Total Categories**                           | 703 |
| **Total Incidents**                            | 701 |

STATE AND LOCAL COMPLAINTS

Complaints from inmates, their families, advocates, elected officials, and other state and local agencies are received by the Commission on a daily basis. When complaints are received, they are classified and electronically logged. Many complaints from county jail inmates can be addressed through the facility grievance process. Such complaints are typically returned to the sender, advising them to file a grievance in accordance with the facility grievance program. Those retained are referred to a Correctional Facility Specialist Senior Utilization Review Nurse for response. Health complaints are referred directly to the Commission’s Forensic Medical Unit for review and follow-up when appropriate. An electronic query of the complaints received from a particular facility is generated by field staff as part of their preparation for future site visits.

Beginning in 1984, the Commission developed a procedure for the centralized compilation of inmate complaints from state and local facilities. This procedure breaks down complaints into discrete categories, and allows Correctional Facility Specialists to address specific problems and trends in a systematic fashion. Inmates often report multiple issues within a single piece of correspondence. In 2016, the Commission received 5756 categories of complaints from state and local inmates or their advocates. The numbers below reflect the
breakdown of those complaint categories.

### STATE AND LOCAL COMPLAINTS FOR 2016

<table>
<thead>
<tr>
<th>Table</th>
<th>State Complaints</th>
<th>Local Complaints</th>
</tr>
</thead>
<tbody>
<tr>
<td>Category</td>
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<tr>
<td>Access To Legal Counsel</td>
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<td>Bail/Release</td>
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<td>Chemical Agents</td>
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<td>Double Bunking</td>
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<tr>
<td>Double Ceiling</td>
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<td>Discriminatory Treatment</td>
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<tr>
<td>Drug, Alcohol, Rehabilitation Programs</td>
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<td>Double Ceiling</td>
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<td>Personnel/Inmate Physical Assault</td>
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<td>Special Housing Unit Procedures</td>
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<td>Total Categories</td>
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COUNTY GRIEVANCES

According to the Commission’s Minimum Standards, 9 NYCRR Part 7032, each county jail is required to establish, implement, and maintain a formal inmate grievance program. This program allows inmates a process at the facility level to address their complaints regarding written or unwritten facility policies, procedures, rules, practices, programs, or the action or inaction of any person within the facility. If a grievance is denied at the facility level, inmates have the option to appeal that disposition to the Commission within a specified time frame. Appeals are forwarded to the Commission for review and disposition by the Citizen’s Policy and Complaint Review Council (CPCRC).

During 2016, the Commission received 3844 categories of grievances from local inmates (See Table). When grievances are appealed to the Commission, they are reviewed, categorized, and electronically filed. Grievances are forwarded to the Correctional Facility Specialist assigned to the sending facility. Grievances that pertain to health care matters are forwarded directly to the Forensic Medical Unit for processing. Grievances are thoroughly reviewed and researched by the Correctional Facility Specialist/Senior Utilization Review Nurse, the Deputy Director of Operations, and the Commission’s Office of Counsel. Grievances are then presented to the CPCRC for final disposition. Both the inmate/grievant and facility officials receive a written decision from the CPCRC for each grievance received. As with complaints, field staff produces a report from the grievance database that provides them with the total number of grievances by category prior to a scheduled county jail site visit.

County Facility Grievances for 2016

<table>
<thead>
<tr>
<th>Category</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Miscellaneous</td>
<td>626</td>
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<tr>
<td>Medical Treatment</td>
<td>541</td>
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<tr>
<td>Food Services</td>
<td>304</td>
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<td>Legal Services</td>
<td>246</td>
</tr>
<tr>
<td>Discipline</td>
<td>226</td>
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<tr>
<td>Medication</td>
<td>174</td>
</tr>
<tr>
<td>Grievance Program</td>
<td>169</td>
</tr>
<tr>
<td>Prisoner Personal Hygiene</td>
<td>166</td>
</tr>
<tr>
<td>Personnel Standards</td>
<td>139</td>
</tr>
<tr>
<td>Religion</td>
<td>117</td>
</tr>
<tr>
<td>Correspondence</td>
<td>114</td>
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<tr>
<td>Exercise</td>
<td>95</td>
</tr>
<tr>
<td>Commissary</td>
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</tr>
<tr>
<td>Visitation</td>
<td>83</td>
</tr>
<tr>
<td>Mental Health Treatment</td>
<td>78</td>
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<td>Physical Plant</td>
<td>78</td>
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<tr>
<td>Discrimination</td>
<td>74</td>
</tr>
<tr>
<td>Security And Supervision</td>
<td>74</td>
</tr>
<tr>
<td>Searches</td>
<td>69</td>
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<tr>
<td>Sanitation</td>
<td>65</td>
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<td>Classification</td>
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<td>Category</td>
<td>Count</td>
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<td>----------------------------------------------------------------</td>
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<tr>
<td>Telephone</td>
<td>53</td>
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<tr>
<td>Medical Personnel Misconduct</td>
<td>33</td>
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<tr>
<td>Medical Diets</td>
<td>27</td>
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<td>Dental Treatment</td>
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<td>Packages</td>
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<tr>
<td>Admissions</td>
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<tr>
<td>Inmate Labor</td>
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</tr>
<tr>
<td>Printed Materials And Publications</td>
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<tr>
<td>Educational Services For Youth</td>
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<tr>
<td>Maximum Facility Capacity</td>
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<tr>
<td>Medical Access to Services</td>
<td>6</td>
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<tr>
<td>Access To Media</td>
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<tr>
<td>Disability Accommodations(ADA)</td>
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<tr>
<td>Programs And Counseling</td>
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<tr>
<td>Funeral And Deathbed Visits</td>
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<tr>
<td>Staffing Requirements</td>
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<tr>
<td>Not Under SCOC Purview</td>
<td>3</td>
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<tr>
<td>Too Vague To Classify</td>
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<tr>
<td>Fire Prevention And Safety</td>
<td>2</td>
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<tr>
<td>Good Behavior Allowance</td>
<td>1</td>
</tr>
<tr>
<td>Substitute Jail Orders</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total Categories</strong></td>
<td><strong>3844</strong></td>
</tr>
</tbody>
</table>
The Commission’s Field Operations Bureau is responsible for monitoring New York State’s compliance with the Juvenile Justice and Delinquency Prevention Act (JJDPA). Where possible, this work is paired with the Commission’s auditing of local police lockups to determine compliance with the New York State Minimum Standards for City Jails, Town and Village Lockups, Parts 7500-7512. In 2016, compliance of the JJDPA and Parts 7500-7512 for New York City and upstate were conducted at the following facilities:

**Police Department Lockups 2016**

<table>
<thead>
<tr>
<th>FIRST QUARTER</th>
</tr>
</thead>
<tbody>
<tr>
<td>NORTH TONAWANDA</td>
</tr>
<tr>
<td>TONAWANDA CITY</td>
</tr>
<tr>
<td>TONAWANDA TOWN</td>
</tr>
<tr>
<td>OGDEN</td>
</tr>
<tr>
<td>MEDINA</td>
</tr>
<tr>
<td>LAKE PLACID</td>
</tr>
<tr>
<td>Nassau County PD 3rd PCT Roosevelt Mall</td>
</tr>
<tr>
<td>Nassau County PD 5th PCT Green Acres Mall</td>
</tr>
<tr>
<td>Nassau County PD Central Testing Bureau</td>
</tr>
<tr>
<td>Nassau County PD Court Liaison</td>
</tr>
<tr>
<td>Nassau County PD Crimes Against Property</td>
</tr>
<tr>
<td>Nassau County PD Homicide Squad</td>
</tr>
<tr>
<td>Nassau County PD Narcotics/Vice Squad</td>
</tr>
<tr>
<td>Nassau County PD PCT 3 Substation Source Mall</td>
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<tr>
<td>Nassau County PD Traffic Court</td>
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<td>Nassau County Police Headquarters</td>
</tr>
<tr>
<td>Nassau PD 1st PCT</td>
</tr>
<tr>
<td>Nassau PD 1st Squad - same bldg. As 1st PCT</td>
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<tr>
<td>Nassau PD 2nd PCT</td>
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<tr>
<td>Nassau PD 2nd PCT Policing Center</td>
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<td>Nassau PD 3rd Squad - same bldg. as 3rd PCT</td>
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<td>Nassau PD 4th PCT</td>
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<td>Nassau PD 4th Squad - same bldg. as 4th PCT</td>
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<td>Nassau PD 7th PCT</td>
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<td>Nassau PD 7th Squad - same bldg. as 7th PCT</td>
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<td>NYPD PCT 67</td>
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<td>BROCKPORT</td>
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<tr>
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<td>DUNKIRK</td>
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<td>SUFFOLK CSD RIVERHEAD LOCKUP</td>
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<td>FOURTH QUARTER</td>
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</table>
New York State Family Courts

Compliance with the Juvenile Justice and Delinquency Prevention Act was assessed at the following Family Court Facilities in 2016:

<table>
<thead>
<tr>
<th>County Family Courts</th>
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<tbody>
<tr>
<td>Albany County Family Courts</td>
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<tr>
<td>Allegany County Family Courts</td>
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<tr>
<td>Cattaraugus County Family Court</td>
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<tr>
<td>Columbia County Family Courts</td>
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<tr>
<td>Erie County Family Courts</td>
</tr>
<tr>
<td>Genesee County Family Courts</td>
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<td>Green County Family Courts</td>
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<tr>
<td>Niagara County Family Courts</td>
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<tr>
<td>New York City Family Courts</td>
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<td>- Bronx</td>
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<tr>
<td>- New York</td>
</tr>
<tr>
<td>- Queens</td>
</tr>
<tr>
<td>- Richmond</td>
</tr>
<tr>
<td>Orleans County Family Courts</td>
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<tr>
<td>Rensselaer County Family Courts</td>
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<tr>
<td>Schoharie County Family Courts</td>
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<tr>
<td>Sullivan County Family Courts</td>
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<tr>
<td>Ulster County Family Court</td>
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<tr>
<td>Wyoming County Family Court</td>
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</tbody>
</table>
CAPACITY MANAGEMENT

At the end of 2016, there were approximately 87,858 persons incarcerated within New York State’s prisons, local county jails, and the New York City Department of Correction (NYCDOC). As a result, inmate capacity management within various facilities can be problematic due to overcrowding. The Commission continually monitors the capacities of these facilities via a daily electronic population reporting system for county facilities, and via facsimile for New York City and state prisons. The latter is also utilized to monitor the census of all county-sentenced inmates scheduled for transfer to a state facility. In 2016, SCOC revised the Maximum Facility Capacity ratings at the following facilities:

- Tompkins County Jail
- Greene County Jail
- Suffolk County Yaphank Correctional Facility
- Onondaga County Penitentiary
- Otsego County Jail
- Rensselaer County Jail
- Yates County Jail
- New York City Department of Correction
  - Anna M. Kross Center
  - Brooklyn House of Detention
  - Eric M. Taylor Center (EMTC)
  - George Motchan Detention Center (GMDC)
  - George R. Vierno Center - NYC DOC
  - Manhattan Detention Center
  - North Infirmary Command (NIC)
  - Rose M. Singer Center (RMSC)
  - Vernon C. Bain Center
  - West Facility
STAFFING SERVICES

Commission Minimum Standards, 9 NYCRR Part 7041, Staffing Requirements, provide that the Commission shall determine the minimum number of staff necessary to operate each correctional facility in New York. Upstate county jails and New York City correctional facilities are unique institutions with wide variation in size, age, and configuration. Each must be staffed in a cost-effective manner that provides for adequate levels of inmate supervision and for staff and public safety. The Commission provides the correctional staffing services for all county correctional facilities. Commission staffing specialists travel to facilities upon either the request of a Sheriff, Commissioner of Correction or determination by SCOC that staff analysis requires revision. The construction of any new county correctional facility requires completion of a staffing analysis. The staffing assessment is based on specific correctional functions, physical plant configuration, inmate population trends, and other factors unique to a particular correctional facility. Detailed guidelines direct staff in developing a facility staffing plan. A proposed minimum institutional position and staffing analysis is prepared, and forwarded to the Sheriff or Commissioner of Correction for review. If warranted, additional discussions pertaining to staffing levels will be conducted. The Commission affords timely security staffing expertise not available in localities, eases the local criminal justice budget process and promotes local government confidence in the safety of widely disparate facilities.

During the 2016 reporting period the revision of six staffing analyses was finalized by Field Operations staff:

- Cortland County Jail Staffing Revised Position and Staffing Analysis (Increase)
- Niagara County Jail Staffing Revised Position and Staffing Analysis (Increase)
- Ontario County Jail Staffing Revised Position and Staffing Analysis (Increase)
- Westchester County DOC Revised Position and Staffing Analysis (Increase)
FACILITY PLANNING AND IMPROVEMENT

The basic function relegated to Facility Planning and Improvement is set forth in two areas of New York State Law. According to NYS Correction Law, section 45(10), the State Commission of Correction shall “approve or reject plans and specifications for the construction or improvement of correctional facilities.”

The second area is found in County Law, Section 216. This statute states: “No site or location for any county jail shall be selected or acquired by such board of supervisors which shall not have been approved by the state commission of correction.” The Commission provides technical planning, capital project development, and construction plan review services for the development of new correctional facilities. The Commission has viewed the modernization of an aging and overcrowded local correctional infrastructure as a major priority. The agency reviews and approves site selection and construction plans, monitors progress, and assists in problem identification and solution. In carrying out these responsibilities, staff responsibility goes far beyond the simple review of blueprints and plans. Particular attention is directed to compliance with minimum standards and maximizing physical plant and operational efficiencies to the extent possible. Additionally, Commission staff provides local decision makers with technical assistance to help assure the jurisdiction of satisfactory, safe and secure methods of incarcerating offenders in a cost-effective manner.

During 2016, findings and determination reports relative to construction plans and specification were prepared by Commission staff and forwarded with recommended action on thirty-five (35) projects variously submitted by the New York State Department of Corrections and Community Supervision (NYS DOCCS), county correctional facilities, police/court detention units, New York State Office of Children and Family Services (OCFS) and the New York City Department of Correction (NYCDOC). The calendar on the following page lists those projects submitted to the Commission in 2016.

An additional twenty-five (25) “replace or renovate” projects were also reviewed and processed. Due to their limited scope of work, the approval for these projects was waived by the Commission. Commission staff also processed fifteen (15) change order requests for previously-approved projects.
<table>
<thead>
<tr>
<th>Month</th>
<th>Development and Improvement Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>January</strong></td>
<td></td>
</tr>
<tr>
<td><strong>NYS OCFS</strong></td>
<td><strong>Brookwood Secure Center</strong></td>
</tr>
<tr>
<td></td>
<td>Control Doors Modification Units 5 thru 10 Project</td>
</tr>
<tr>
<td><strong>COUNTY JAIL</strong></td>
<td><strong>Lewis County Jail</strong></td>
</tr>
<tr>
<td></td>
<td>Cell Window Modification Project</td>
</tr>
<tr>
<td><strong>LOCAL PD</strong></td>
<td><strong>Nassau County PD</strong></td>
</tr>
<tr>
<td></td>
<td>New 7th Precinct Building Project</td>
</tr>
<tr>
<td><strong>NYC DOC</strong></td>
<td><strong>NYC Department of Correction</strong></td>
</tr>
<tr>
<td></td>
<td>Comprehensive Camera Coverage At OBCC, EMTC, RMSC, NIC, MDC, VCBC and BKDC Installation Project</td>
</tr>
<tr>
<td><strong>February</strong></td>
<td></td>
</tr>
<tr>
<td><strong>NYS OCFS</strong></td>
<td><strong>Brookwood Secure Center</strong></td>
</tr>
<tr>
<td></td>
<td>Control Doors Modification Units 5 thru 10 Project</td>
</tr>
<tr>
<td><strong>NYS OCFS</strong></td>
<td><strong>Goshen Secure Center</strong></td>
</tr>
<tr>
<td></td>
<td>Provide Security Camera System Project</td>
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<tr>
<td><strong>NYS OCFS</strong></td>
<td><strong>MacCormick Secure Center</strong></td>
</tr>
<tr>
<td></td>
<td>Provide Medical/Dental and Additional Office Project</td>
</tr>
<tr>
<td><strong>NYC DOC</strong></td>
<td><strong>Manhattan Detention Center (MDC)</strong></td>
</tr>
<tr>
<td></td>
<td>2nd Floor South Tower Holding Area Project</td>
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<tr>
<td><strong>March</strong></td>
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<tr>
<td><strong>NYS DOCCS</strong></td>
<td><strong>Coxsackie Correctional Facility</strong></td>
</tr>
<tr>
<td></td>
<td>Provide Cell Block &amp; Quartermaster/Records Storage Addition</td>
</tr>
<tr>
<td><strong>NYS DOCCS</strong></td>
<td><strong>Coxsackie Correctional Facility</strong></td>
</tr>
<tr>
<td></td>
<td>Provide Cell Locking Devices, Building #2 A &amp; C Blocks</td>
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<tr>
<td><strong>NYS DOCCS</strong></td>
<td><strong>Eastern Correctional Facility</strong></td>
</tr>
<tr>
<td></td>
<td>Provide Perimeter Fences/Systems Project</td>
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<tr>
<td><strong>NYS DOCCS</strong></td>
<td><strong>Great Meadow Correctional Facility</strong></td>
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<tr>
<td></td>
<td>Upgrading Locking System Building No. 4 – “F” Block SHU Project</td>
</tr>
<tr>
<td><strong>COUNTY JAIL</strong></td>
<td><strong>Sullivan County Jail</strong></td>
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<tr>
<td></td>
<td>New Facility Construction Project</td>
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<td><strong>COUNTY SHERIFF</strong></td>
<td><strong>Sullivan County Sheriff’s Office</strong></td>
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<td>New Pre-Arraigned Booking Area Project</td>
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<td><strong>COUNTY COURT</strong></td>
<td><strong>Warren County Court</strong></td>
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<td>Phase 1 and Phase 2 Construction Court Holding Areas Project</td>
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<td><strong>April</strong></td>
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<td><strong>No Construction Activity</strong></td>
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<td><strong>May</strong></td>
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<td><strong>NYC DOC</strong></td>
<td><strong>Eric M. Taylor Center</strong></td>
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<td>Removal of Two Wood Modular Buildings and Construction of Recreation Yard</td>
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<td>Organization</td>
<td>Facility/Project Description</td>
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<td>--------------</td>
<td>----------------------------</td>
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<tr>
<td>NYC DOC</td>
<td>George R. Vierno Center - Construction of Outdoor Recreation Yard Adjacent to Housing Wing 11-B</td>
</tr>
<tr>
<td>NYS DOCCS</td>
<td>Elmira Correctional Facility Install CCTV System Project</td>
</tr>
<tr>
<td>COUNTY JAIL</td>
<td>Wayne County Jail Security System Upgrade Project</td>
</tr>
<tr>
<td>COUNTY JAIL</td>
<td>Wayne County Jail Modification to Pods B and D Project</td>
</tr>
<tr>
<td></td>
<td>June</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>Eric M. Taylor Center Construction of Recreation Yard</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>Manhattan Detention Center Construction of Holding Pens at North Tower</td>
</tr>
<tr>
<td></td>
<td>July</td>
</tr>
<tr>
<td>NYS OCFS</td>
<td>Goshen Secure Rehabilitate Kitchen and Dining Area Project</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>Manhattan Detention Center Construction of Exercise Pens on the 11th Floor Roof South Tower</td>
</tr>
<tr>
<td>COUNTY JAIL</td>
<td>Rockland County Jail Conversion of a Housing Area into a Suicide Watch Dorm</td>
</tr>
<tr>
<td></td>
<td>August</td>
</tr>
<tr>
<td>NYS OCFS</td>
<td>Brookwood Secure Renovate Medical Clinic Project</td>
</tr>
<tr>
<td>TOWN COURT</td>
<td>Malone Town Court New Court Holding Pen</td>
</tr>
<tr>
<td>COUNTY JAIL</td>
<td>Yates County Jail Convert Storage Area to Holding Cell</td>
</tr>
<tr>
<td></td>
<td>September</td>
</tr>
<tr>
<td>NYS DOCCS</td>
<td>Five Points Correctional Facility Recreation Yard Renovation</td>
</tr>
<tr>
<td></td>
<td>October</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>Anna M. Kross Center Redesign of 8 Outdoor Area to Recreation Spaces</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>George Motchan Detention Center Pace Housing Project</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>Otis Bantum Correctional Center Redesign of 12 Outdoor Holding Pends to 3 Outdoor Recreation Spaces</td>
</tr>
<tr>
<td>NYS DOCCS</td>
<td>Woodbourne Correctional Facility Rebuild Existing SHU Walls and Replace Fixtures</td>
</tr>
<tr>
<td></td>
<td>November</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>Anna M. Kross Center Direct Supervision Redesign of Modular Housing Buildings # 17, 18, 19</td>
</tr>
<tr>
<td>NYC DOC</td>
<td>Rose M. Singer Center Modification of Housing Area # 11 into a Pace Unit</td>
</tr>
</tbody>
</table>
| CITY COURT | Plattsburgh City Court  
Modification of Court Feeder Holding Pen |
|------------|-----------------------------------------------------|
| TOWN POLICE | Bedford Town Police  
Temporary Detention Area and Renovate Cellblock |
| COUNTY JAIL | Erie County Holding Center and County jail  
Security and Video System Upgrade Phase 2 |
| COUNTY JAIL | Monroe County Jail  
Sub-Day Rooms Project |
| CITY POLICE | NYPD  
Temporary Holding Cells in the New 40th Precinct |
| NYS DOCCS | Midstate Correctional Facility  
Provide Classrooms 2nd Floor- S-Block |
| COUNTY JAIL | Oswego County Jail  
Dorm Renovation Project |
| COUNTY JAIL | Wyoming County Jail  
Security control system upgrade renovation project |
NEW INSTITUTION TRANSITION ASSISTANCE PROGRAM (NITAP)

Broome
- Field and forensic staff provided on-site assistance as the facility continued construction of a new dormitory (converted from a gymnasium) and medical housing areas.

Cortland
- Met with an architect (contracted to design the new facility) and county officials to discuss design-related matters.

Dutchess
- Attended legislative meetings to discuss the construction of a new jail. Topics included capacity and design type.

Genesee
- Discussed with jail officials the likely need to plan for a needs assessment study for the jail, as they cannot continue to rely on capacity variances authorized by SCOC.

Greene
- In contact with county officials as they plan for construction of a new correctional facility.

Herkimer
- Remained in contact with jail officials, as the county continued its litigation with the Village of Herkimer concerning the construction of a new county jail.

Saratoga
- Discussed with jail officials the likely need to plan for a needs assessment study for the jail, as they cannot continue to rely on capacity variances authorized by SCOC.

Schoharie
- Field and forensic staff met with county officials and the architect to review schematic drawings for the new jail and provide feedback.

Sullivan
- Staff discussed with jail officials the requirement of establishing a full-time transition team in planning for the construction and operation of a new correctional facility.

Tompkins
- Completed on-site assessments of the construction of a 7-bed dormitory (converted from an indoor exercise area).
- Met with county officials to discuss the potential for adding capacity to the existing jail, as they cannot continue to rely on capacity variances authorized by SCOC.
TECHNICAL ASSISTANCE (General)

SCOC staff provided technical assistance related to the improvement of operations to the following facilities:

**Albany**
- Reviewed policy and procedure and processed certificates for return unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

**Broome**
- Assistance on reporting incidents to the Commission
- Review of and feedback on discipline forms

**Cattaraugus**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.
- Assistance on inmate access to Minimum Standards
- Assistance on valid religions

**Chautauqua**
- Delivered on-site workshops for Inmate Classification and Grievance Program
- Forensic staff on-site assistance in health care services
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

**Chemung**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

**Clinton**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

**Cortland**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.
- Assistance was provided concerning the Commission’s staffing requirements for the facility.

**Delaware**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.
**Dutchess**
- Facility expansion
- Educational Services for Youth
- Security and Supervision

**Essex**
- Assistance on reportable incidents
- Reviewed policy on grievances

**Erie**
- On-site delivery of Inmate Grievance Program Workshop
- Reviews of numerous operational policies
- Assistance on use of non-contact inmate visits
- Clarification on Erie CF’s proposed canine program (Puppies Program)

**Franklin**
- Forensic staff on-site assistance in health care services
- Review of and feedback on inmate rulebook

**Greene**
- On-site assistance in discussing and clarifying Minimum Standard violations identified during an evaluation
- Assistance on developing a weapons inspection form template

**Herkimer**
- Forensic staff on-site assistance in health care services

**Jefferson**
- Forensic staff reviewed policy and procedure and processed certificates for return unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

**Madison**
- Assisted in developing a security inspection form
- Clarification on privileged correspondence
- Reviewed Juvenile Justice policy
- Population reporting
Monroe
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

Montgomery
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

Nassau
- Issuance and care of Inmate clothing
- Reportable Incidents

Niagara
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.
- Annual reporting

Onondaga DOC
- On-site assistance with their inmate grievance program and electronic grievance submittal process
- Clarification on providing legal supplies to indigent inmates
- Clarification on in-cell camera installation
- Housing expansion

Onondaga County Justice Center
- Provided on-site assistance with submittal of the Sheriff’s Annual Report to the Commission
- Assistance in identifying potential additional housing space

Ontario
- On-site assistance with their inmate classification system
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

Orange
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.
- Clarification on haircuts for indigent inmates
Orleans
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

Oswego
- On-site assistance with their inmate classification system
- Review of operational policies
- Clarification on supervision of inmates outside secure confines of facility
- Review of and feedback on inmate rulebook

Otsego
- Forensic staff provided on-site assistance concerning potential constant supervision areas

Putnam
- Policies/Procedures on Security and Supervision and Visitation

Saratoga
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

Schenectady
- Clarification on constant supervision requirements

Schuyler
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.
- Clarification on Active Supervision requirements
- Clarification on legal mail requirements for indigent inmates

Seneca
- Clarification for Seneca County Mental Health on clothing deprivation orders

St. Lawrence
- On-site assistance with their inmate classification system (workshop)
- On-site assistance with their inmate discipline system (workshop)
- Assistance on legal material purchases
- Assistance on control room staffing
- Assistance on co-defendant visits
- Review of changes to visitation schedule
- Clarification on use of suicide smocks

**Suffolk**
- Maximum Facility Capacity
- Reportable Incidents
- Clothing
- Construction

**Tompkins**
- An on-site meeting with the sheriff, jail administration, and county officials to discuss their current capacity variance and the county’s efforts to reduce the jail population.
- Assistance was provided on inmate classification.

**Ulster**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.
- Educational Services for Youth

**Washington**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

**Warren**
- Clarification on haircuts for indigent inmates

**Wayne**
- Forensic staff reviewed policy and procedure and processed certificates for return of unused prescriptions in accordance with 9 NYCRR, §7010.5, Health Services.

**Westchester**
- Clarification on use of disciplinary surcharges
- Clarification on approval of installing security gates outside showers
- Clarification on Correction Law 611
- Provided sample of legal reference index
- Provided language for inmate rulebook
- Provided administrative segregation order templates
Yates
- On-site assistance to assess the feasibility of increasing the capacity of the jail

New York City Department of Correction
- Extensive assistance provided in the following areas:
  - Supervision requirements
  - Control room configurations
  - Population reporting
  - Time recording devices
  - Cameras and intercoms
  - Quarterly reporting
  - Visitor processing
  - Chemical agents
  - Firearms
  - Reportable incidents
  - Intake Area – Manhattan Detention Center
  - Intake Area – Otis Bantum Correction Center

New York State Office of Children and Family Services
- Reportable incidents

Town of Tonawanda Police Department
- Clarification on prisoner supervision requirements

Family Courts - Third District
- Answered questions concerning upcoming evaluation

Family Courts – Eighth District
- Answered questions concerning upcoming evaluation
CHAIRMAN’S MEMORANDA

The Commission is a key player in the delivery of salient correctional management information and education to county correctional facilities. The Chairman’s Memorandum, a bulletin that is sent to all county sheriffs and administrators of correctional facilities and police departments, is an efficient vehicle for the delivery of such information. In 2016, the following Memoranda were issued:

• Chairman’s Memorandum # 1 Restraint of Pregnant Inmates
• Chairman’s Memorandum # 2 Sex Offender Registry
• Chairman’s Memorandum # 3 Inmate Supervision/Drug Overdose Precautions

FIELD INVESTIGATIONS (NON-MEDICAL RELATED)

• Erie County Correctional Facility Carl Miller Assault
• Broome County Jail Investigation Christopher Ellis Attempted Escape
• Franklin County Jail Investigation Donald Hamoy and John Feiman Incident
• Madison County Jail Investigation Attempted Escape Adrian Ward
• Oswego County Jail Investigation Escape of Elizabeth Wood
• Westchester County DOC Investigation Firearms Discharge
REPORTED INCIDENTS OF THE USE OF RESTRAINTS ON PREGNANT INMATES

Following 2015 and 2016 amendments to New York State Correction Law section 611, local correctional facilities are generally prohibited from utilizing any restraints on an inmate who is known by facility staff to be pregnant, on an inmate who is in labor, or on any inmate within eight (8) weeks after delivery or pregnancy outcome. Limited exceptions have been provided in the statute for instances where facility staff makes a “finding of extraordinary circumstances,” whereupon options are limited to wrist restraints in front of the body. Any such use of restraints is required by the statute to be documented in writing within 5 days of use, specifying the facts upon which the finding of extraordinary circumstances was based, the type of restraint used, and the length of time such restraints were applied.

Correction Law section 611(1)(e) further requires that each local correctional facility submit an annual report to the Commission of Correction, detailing every use of restraint on a woman, as governed by the statute. The Commission is thereafter required to include such reported information in its annual report while excluding identifying information.

The following was reported to the Commission for 2016:

<table>
<thead>
<tr>
<th>County: Chemung</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Restraint</strong></td>
</tr>
<tr>
<td><strong>Length of Time In Restraints</strong></td>
</tr>
<tr>
<td>Applied: 06:29 hours</td>
</tr>
<tr>
<td>Removed: 08:30 hours (approximate)</td>
</tr>
<tr>
<td><strong>Facility Report - Reasons For Using Restraints</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>County: Livingston</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Restraint</strong></td>
</tr>
<tr>
<td><strong>Length of Time In Restraints</strong></td>
</tr>
<tr>
<td>Applied: 09:15 hours</td>
</tr>
<tr>
<td>Removed: 09:20 hours (approximate)</td>
</tr>
<tr>
<td><strong>Facility Report - Reasons For Using Restraints</strong></td>
</tr>
</tbody>
</table>
When the transport arrived at court and until she was brought into the holding area. She was restrained no more than 5 minutes. She was not restrained when she left LCJ, during the transport or on the return trip to LCJ.

**County:** Otsego

**Type of Restraint**
handcuffed in front

**Length of Time In Restraints**
- Applied: 14:35 hours
- Removed: 14:41 hours (upon arrival at hospital)
- Applied: 16:15
- Removed: 17:13

**Facility Report - Reasons For Using Restraints**
Inmate was admitted to the facility, and during the admission process it was found that Inmate had a ring on her ring finger located on her left hand. This finger was beginning to swell and attempts to have the ring removed at the Facility were unsuccessful. This resulted in the decision to have the Inmate seen at Bassett Medical Center to have the ring removed. Staff was advised that Inmate had previously been pregnant and that the outcome of her pregnancy had been within the past eight weeks. While preparing for the transport to Bassett Medical Center Inmate became visibly upset, disruptive and verbally aggressive towards staff. Since the transport to Bassett Medical Center was within eight weeks of the outcome of Inmate’s pregnancy, staff consulted with medical personnel at the Facility to determine if mechanical restraints should be used. The decision was made to place mechanical restraints on Inmate’s wrists to be placed in front of her body, to ensure the safety and security of the transport. Inmate was then transported to Bassett Medical Center at 14:35 hrs. The transport team arrived at Bassett Medical Center at 14:41 hrs. After entering the Bassett Medical Center the mechanical restraints were removed. Inmate continued to be disruptive and verbally aggressive towards staff during the visit at Bassett Medical Center. Mechanical restraints were again applied to Inmate’s wrists in front of her body while at Bassett Medical Center at 16:15 hrs. until the transport team arrived back at the Facility at 17:13 hrs. at which time the mechanical restraints were removed.

**County:** Schenectady

**Type of Restraint**
handcuffed in front of her body

**Length of Time In Restraints**
- Applied: 07:55 hours (approximate)
- Removed: 10:25 hours (approximate)

**Facility Report - Reasons For Using Restraints**
Due to Inmate being a discipline keeplock for instigating a fight with another inmate and resisting staff, the decision was made by Lieutenant and Sergeant to apply handcuffs secured in the front on Inmate only after she was safely secured and seated in the transport van. The handcuffs were applied at approximately 07:55 while she was safely seated inside the van. The handcuffs were
removed upon her arrival at Bedford Hills at approximately 10:25 while Inmate was still safely seated in the van. The restraints were on Inmate for approximately two and a half hours. At no time were restraints of any kind used on Inmate while she was not safely seated inside the transport van.

**County:** New York City Department of Correction/ Rose M. Singer Center - Rikers Island

**Type of Restraint**
Handcuffs/wrist restraints

**Length of Time In Restraints**

<table>
<thead>
<tr>
<th>Applied:</th>
<th>13:40 hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>Removed:</td>
<td>14:34 hours</td>
</tr>
</tbody>
</table>

**Facility Report - Reasons For Using Restraints**

At Elmhurst Hospital, Inmate was informed that she would be transferred back to RMSC. Subsequently, Inmate threatened to assault hospital staff and threw hospital equipment. NYCDOC Captain ordered Inmate to cease threatening staff and to stop throwing equipment. Although Inmate ceased throwing equipment, she continued to threaten to assault staff.

At the time of this incident, Captain was accompanied by NYCDOC Officers, and Four Health and Hospital Police Officers were also present to provide assistance to NYCDOC staff in resolving the situation. In addition, Captain requested the assistance of an Elmhurst Hospital psychiatrist, who attempted without success to defuse the situation and get Inmate to cease her threatening behavior. Captain then, at approximately 13:40 hours, had Correction Officer place handcuffs on Inmate. Officer placed the handcuffs on Inmate’s wrists with her hands in front of her.

NYCDOC Officers and Health and Hospital Police Officers then escorted Inmate in a wheelchair to a NYCDOC vehicle for transport to RMSC. The handcuffs remained on Inmate during her transport to Rikers Island. Inmate arrived at RMSC at 14:30 hours and the handcuffs were removed in the facility shortly thereafter, at 14:34 hours. Wrist restraints were applied for a duration of approximately 54 minutes.
The Forensic Medical Unit is a Field Operations unit within the State Commission of Correction. This unit is intended to fulfill the health care oversight mandate of Correction Law, Article 3 by providing the SCOC and its Medical Review Board (MRB) with expertise in the investigation of inmate mortality, and the evaluation of State and local correctional facility health care delivery. The unit also provides technical assistance to state and local correctional facilities for the improvement of health care delivery. Technical assistance is regularly provided in the development of health care delivery procedures including tuberculosis control, occupational health and safety, health care staffing, health facility designs and handling of pharmaceuticals among others. Each year a number of New York’s jails are visited by trained health care system evaluators. The Forensic Medical Unit also presents its cases to the MRB, investigates medical grievances and complaints, and conducts various special investigations as directed by the Commission. This includes the following activities:

- Field mortality investigation;
- Health services evaluation;
- Standards development and compliance;
- Resolution of complaints and grievances;
- Collection and interpretation of data and statistics;
- Development and administration of technical assistance programs; and
- Policy formulation and advice.

### Mortality Investigations

The State Commission of Correction’s Forensic Unit conducted 45 mortality investigations. Those cases and their corresponding facilities are as follows:

#### Mortality Investigations:

<table>
<thead>
<tr>
<th>Name</th>
<th>Year</th>
<th>Case Number</th>
<th>Location</th>
<th>Cause</th>
</tr>
</thead>
<tbody>
<tr>
<td>SALLADIN BARTON</td>
<td>2015</td>
<td>M-009</td>
<td>BROOME CJ</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>MARK CANNON</td>
<td>2014</td>
<td>M-118</td>
<td>ALBANY CJ</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>DONNIE KENNEDA</td>
<td>2013</td>
<td>M-190</td>
<td>CAYUGA CJ</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>JOSEPH QUALYLE</td>
<td>2014</td>
<td>M-124</td>
<td>ONEIDA CJ</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>CHANEL LAKATOSZ</td>
<td>2015</td>
<td>M-006</td>
<td>ONONDAGA JC</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>KAREN OKAFOR</td>
<td>2014</td>
<td>M-046</td>
<td>MONROE CJ</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>ANTONIO MARINACCIO</td>
<td>2015</td>
<td>M-053</td>
<td>NASSAU CJ</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>ALFREDO LOPEZ</td>
<td>2015</td>
<td>M-006</td>
<td>GREAT MEADOW CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>ROBERT PASSERO</td>
<td>2014</td>
<td>M-010</td>
<td>AUBURN CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>TRAVIS YAVITZ</td>
<td>2014</td>
<td>M-053</td>
<td>TOMPKINS CJ</td>
<td>OVERDOSE</td>
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<tr>
<td>WALLACE URF</td>
<td>2013</td>
<td>M-182</td>
<td>GENESEE CJ</td>
<td>MEDICAL</td>
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<tr>
<td>RICHARD METCALF</td>
<td>2012</td>
<td>M-152</td>
<td>ERIE HC</td>
<td>HOMICIDE</td>
</tr>
<tr>
<td>Name</td>
<td>Year</td>
<td>Code</td>
<td>Location</td>
<td>Cause</td>
</tr>
<tr>
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<tr>
<td>KASEEM PANKEY</td>
<td>2014</td>
<td>M-153</td>
<td>DUTCHESS CJ</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>BRONSON COOPER</td>
<td>2014</td>
<td>M-125</td>
<td>FISHKILL CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>JOHNNY BREEDLOVE</td>
<td>2014</td>
<td>M-101</td>
<td>MONROE CJ</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>DAVID LIDICK</td>
<td>2014</td>
<td>M-125</td>
<td>ERIE HC</td>
<td>MEDICAL</td>
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<tr>
<td>B. VAN ZANDT</td>
<td>2014</td>
<td>M-143</td>
<td>FISHKILL CF</td>
<td>SUICIDE</td>
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<tr>
<td>SAMUEL WHITE</td>
<td>2015</td>
<td>M-073</td>
<td>HERKIMER CJ</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>THOMAS FIELDS</td>
<td>2014</td>
<td>M-169</td>
<td>GREEN HAVEN CF</td>
<td>OVERDOSE</td>
</tr>
<tr>
<td>JASMINE LAWRENCE</td>
<td>2014</td>
<td>M-121</td>
<td>NYPD</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>ADAM RAPPAPORT</td>
<td>2015</td>
<td>M-035</td>
<td>ALBANY CJ</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>ANTONIO PIZZARO</td>
<td>2014</td>
<td>M-075</td>
<td>AMKC</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>MICHAEL LEGG</td>
<td>2015</td>
<td>M-035</td>
<td>FIVE POINTS CF</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>LUCKY WILKINS</td>
<td>2014</td>
<td>M-073</td>
<td>SCHENECTADY CJ</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>T. FIORENZA</td>
<td>2013</td>
<td>M-017</td>
<td>GREEN HAVEN CF</td>
<td>HOMICIDE</td>
</tr>
<tr>
<td>ROBERT SKINNER</td>
<td>2014</td>
<td>M-040</td>
<td>WENDE CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>TODD HEATLEY</td>
<td>2014</td>
<td>M-150</td>
<td>WENDE CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>ROLANDO PEREZ</td>
<td>2014</td>
<td>M-009</td>
<td>OBCC</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>WILLIAM STEWART</td>
<td>2013</td>
<td>M-160</td>
<td>GROVELAND CF</td>
<td>MEDICAL</td>
</tr>
<tr>
<td>RAPHAEL PENA</td>
<td>2015</td>
<td>M-095</td>
<td>DOWNSTATE CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>THOMAS FERRARA</td>
<td>2015</td>
<td>M-074</td>
<td>SARATOGA CJ</td>
<td>OVERDOSE</td>
</tr>
<tr>
<td>PEGGY GAISER</td>
<td>2015</td>
<td>M-064</td>
<td>TONAWANDA PD</td>
<td>OVERDOSE</td>
</tr>
<tr>
<td>NICHOLAS HALL</td>
<td>2015</td>
<td>M-085</td>
<td>COXSACKIE CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>THOMAS BLANCKE</td>
<td>2013</td>
<td>M-188</td>
<td>FIVE POINTS CF</td>
<td>HOMICIDE</td>
</tr>
<tr>
<td>KARL TAYLOR</td>
<td>2015</td>
<td>M-047</td>
<td>SULLIVAN CF</td>
<td>HOMICIDE</td>
</tr>
<tr>
<td>FREDERICK MANN</td>
<td>2015</td>
<td>M-083</td>
<td>MONROE CJ</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>LONNIE HAMILTON</td>
<td>2016</td>
<td>M-037</td>
<td>MARCY CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>THOMAS OAKLEY</td>
<td>2015</td>
<td>M-029</td>
<td>ATTICA CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>FABIAN CRUZ</td>
<td>2015</td>
<td>M-001</td>
<td>RNDC</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>TIMOTHY HENNING</td>
<td>2015</td>
<td>M-051</td>
<td>FIVE POINTS CF</td>
<td>OVERDOSE</td>
</tr>
<tr>
<td>ELIJAH CUMMINGS</td>
<td>2015</td>
<td>M-058</td>
<td>AUBURN CF</td>
<td>SUICIDE</td>
</tr>
<tr>
<td>D. SUMMERVILLE</td>
<td>2014</td>
<td>M-030</td>
<td>CLINTON CF</td>
<td>SUICIDE</td>
</tr>
</tbody>
</table>
Technical Assistance

Health Care Evaluations

Technical assistance in the form of health services evaluations is typically provided in response to a formal request from a county jail. It may also be provided as a result of findings of chronically inadequate healthcare delivery. In 2016 the following facilities received such technical assistance.

Franklin County Jail
Herkimer County Jail
Chautauqua County Jail

Construction or Expansion of Health Care Facilities

Broome County Jail - Infirmary
Schoharie County Jail
Otsego County Jail – Constant Supervision Area

Monitoring

DOCCS Self Inflict Injuries/Suicide Attempts
Medical/Mental Health PD Reportable Incidents
Medical/Mental Health Local Facilities Reportable Incidents

Training Attended

Interview and Interrogation Training

Interagency

New York State Office of Attorney General – Healthcare Bureau
New York State Department of Corrections and Community Supervision Health Services
New York State Office of Mental Health
New York State Department of Health - Office of Professional Medical Conduct
New York State Education - Office of the Professions
New York State Department of Corrections and Community Supervision
  Office of Health Services and Office of Special Investigation, Review of Mortality Cases
New York State AIDS Institute
New York State Sheriffs’ Association
Mental Health and Suicide Prevention

During the 1970’s and early 1980’s, suicide was the leading cause of death in county jails and preventable suicide emerged as a major source of successful litigation against local government. The Commission, in conjunction with the NYS Office of Mental Health (OMH), researched, developed and obtained federal financing for a state-of-the-art suicide prevention program that has yielded a sustained annual reduction in suicide of more than 70%, despite extraordinary increases in local inmate populations. The Commission and OMH deliver suicide prevention training to all incoming correction officers, either directly, or by offering training to established correctional staff who, in turn, trains their own incoming correction officers. The training conducted for incoming correction officers is an intensive eight-hour module.

Beginning in 2010, the Commission and OMH completed a comprehensive training curriculum revision, the first revision since 2000. New training manuals, screening guidelines, and videos were produced. An initial pilot training was conducted with county corrections and mental health instructions in June 2011. The new curriculum was revised and screening guidelines were officially released in November 2011.

Inmate Mortality During 2016

The following table lists the numbers and causes of death for inmates in all correctional facilities in New York State during the year 2016. The Commission receives notice of each of these deaths within 6 hours of occurrence. Each case is separately investigated by the Commission’s Forensic Medical Unit. Problematic cases are investigated in the field, carefully documented, presented to the Medical Review Board and published. In 2016, the Board and Commission published 51 reports in which recommendations to prevent similar recurrence and to improve medical and mental health care were made to correctional facility administrators.

Reported Inmate Mortality - 2016

<table>
<thead>
<tr>
<th></th>
<th>SUICIDE</th>
<th>AIDS</th>
<th>NATURAL</th>
<th>HOMICIDE</th>
<th>UNKNOWN</th>
<th>OTHER</th>
<th>TOTAL</th>
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<tbody>
<tr>
<td>NYS DOCCS</td>
<td>16</td>
<td>0</td>
<td>105</td>
<td>0</td>
<td>25</td>
<td>1</td>
<td>147</td>
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<tr>
<td>COUNTY JAILS</td>
<td>4</td>
<td>0</td>
<td>8</td>
<td>0</td>
<td>13</td>
<td>0</td>
<td>25</td>
</tr>
<tr>
<td>NYCDOC</td>
<td>2</td>
<td>1</td>
<td>5</td>
<td>0</td>
<td>5</td>
<td>2</td>
<td>15</td>
</tr>
<tr>
<td>POLICE LOCKUPS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
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<tr>
<td>NYPD PRECINCTS</td>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>TOTAL</td>
<td>23</td>
<td>1</td>
<td>118</td>
<td>0</td>
<td>45</td>
<td>4</td>
<td>191</td>
</tr>
</tbody>
</table>
COUNSEL’S OFFICE

The Office of Counsel is responsible for the interpretation and analysis of all legal issues related to the work of the Commission. Part of this function includes the coordination of the Commission’s legislative program, including the submission and review of legislation affecting the correctional and criminal justice system in New York State. The Commission’s Office of Counsel continues to work collaboratively with the administrations of local correctional facilities on issues of mutual concern. Further, the Office of Counsel acts in an enforcement capacity with regard to actions to compel correctional facility compliance with minimum standard regulations, and acts as a liaison to the Attorney General’s Office for all other agency-related litigation.

In addition to all the above-listed functions, Counsel’s Office has developed a technical assistance approach to correctional jurisdictions by providing legal opinions to sheriffs and jail administrators.

Regulatory Reform

Pursuant to State Administrative Procedure Act §207, the Commission carried out the required regulatory review of all rules adopted in calendar years 2001, 2006 and 2011. After publishing notice and an invitation for public comment said rules were continued without amendment.

Litigation

In the course of the year, no cases were closed and two (2) were pending as of the end of 2016.

Legal Opinions

In 2016, Counsel’s Office rendered 85 legal opinions by telephone and 5 legal opinions in writing.

Freedom of Information Law (FOIL) Requests

For the year 2016, the Office of Counsel received and responded to 198 Freedom of Information Law (FOIL) requests for records of the Commission of Correction.

Juvenile Justice

In 2016, Counsel’s Office continued to provide guidance and assistance to the Field Operations Bureau with the Commission’s duty of monitoring the state’s compliance with the Juvenile Justice and Delinquency Prevention Act (JJDPA).